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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,506	07/31/2001	John G. Babish	T8638.NP

CONFIRMATION NO. 2768

FORMALITIES LETTER



OC000000006559501

THORPE NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, UT 84091-1219

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$878.
 - \$198 for 22 total claims over 20.
 - \$680 for 17 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1298.

A copy of this notice MUST be returned with the reply.

K. GAYSON

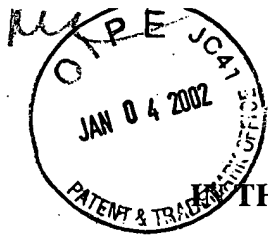
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2002 ENGIVEN1 00000012 09919506

370.00 OP
198.00 OP
714.00 OP
65.00 OP

01 FC:201
02 FC:203
03 FC:202
04 FC:205

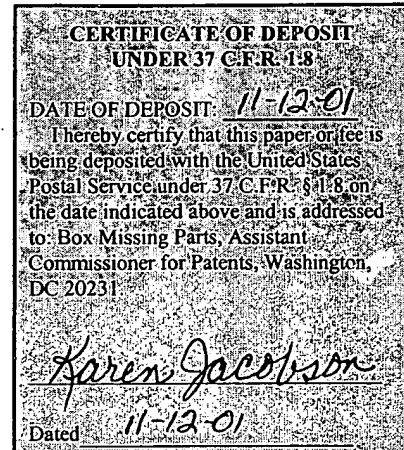


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Section #

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John C. Babish, et al.
SERIAL NO.: 09/919,506
FILED: July 31, 2001
FOR: COMBINATIONS OF
SESQUITERPENE LACTONES
AND DITERPENE LACTONES
OR TRITERPENES
FOR SYNERGISTIC
INHIBITION OF
CYCLOOXYGENASE-2
ART UNIT: 1614
DOCKET NO.: T8638.NP



RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NON-PROVISIONAL PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed September 14, 2001,
please find enclosed herewith the following items for filing in the United States Patent and
Trademark Office in connection with the above-identified patent application:

- ☒ An Assignment from the inventors to Ashni Naturaceuticals, Inc.;
- ☒ Applicant claims Small Entity Status under 37 CFR 1.9(f) and 1.27(c);
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- ☒ Declaration and Petition;
- ☒ Power of Attorney;

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Assistant Commissioner for Patents

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— Prior Art Statement, Form PTO-1449, and copies of cited prior art;

X Check in the amount of \$1,387.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$710
TOT. CLAIMS	42 - 20 =	22	x 9 =	\$198	x 18 =	\$
IND. CLAIMS	20 - 03 =	17	x 42 =	\$714	x 80 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE				\$40		
SURCHARGE FEE				\$65		
			TOTAL	\$1,387.00	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.


Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 13th day of Nov., 2001.

Respectfully submitted,



M. Wayne Western
Attorney for Applicant
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MWW/WC:kj
Enc.